

Quota Ownership and Sustainability View

as at November 2013

This table sets out the quantity and percentage of Sanford quota shares, for species where Sanford owns at least 30 metric tonnes, annual catch entitlement (ACE) equivalent (mt) or, more than 10% of the Total Allowable Commercial Catch (TACC) in New Zealand.

The colour-coding corresponds to Sanford's view of the sustainability status of each stock, according to the key.

The Ministry for Primary Industries (MPI) sustainability status of each fishery is also included.

Key – Sanford Sustainability Status View

1 – Quota reduction likely	2 – Has declined but is rebuilding	3 – Being sustainably utilised	4 – Under-exploited & could increase
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Stock Code	Common Name	Sanford Quota Shares Owned	Sanford Quota Shares Owned (Rounded ACE Equivalent) (mt)	TACC (mt)	Sanford Quota Ownership % of TACC	Sanford species sustainability status view 2013 (2012 view)	MPI stock sustainability status (summarised from www.fish.govt.nz)
ANC1	Anchovy	18,000,000	36.00	200	18.0%	4 (4)	Very likely at or above target level
ANC8	Anchovy	80,000,000	80.00	100	80.0%	4 (4)	Very likely at or above target level
BAR1	Barracouta	38,665,442	4,253.38	11,000	38.7%	3 (3)	Not determined; unlikely depleted/overfished
BAR4	Barracouta	36,471,631	1,101.13	3,019	36.5%	3 (3)	Not determined
BAR5	Barracouta	31,397,818	2,345.42	7,470	31.4%	4 (4)	Not determined
BAR7	Barracouta	24,353,323	2,720.96	11,173	24.4%	3 (3)	Not determined
BCO5	Blue Cod	17,122,050	212.14	1,239	17.1%	3 (3)	About as likely as not at or above target level
BNS1	Bluenose	9,113,600	36.45	400	9.1%	2 (1)	Below target; about as likely as not overfished
BNS2	Bluenose	16,552,391	72.50	438	16.6%	2 (1)	Below target; about as likely as not overfished
BNS7	Bluenose	19,958,667	12.37	62	20.0%	2 (1)	Below target; about as likely as not overfished
BWS1	Blue Shark	2,264,725	42.12	1,860	2.3%	2 (2)	Not determined
BYA1	Friiled Venus Shell	20,000,000	0.20	1	20.0%	4 (4)	Nominal stock
BYA5	Friiled Venus Shell	20,000,000	0.20	1	20.0%	4 (4)	Nominal stock
BYA9	Friiled Venus Shell	20,000,000	0.20	1	20.0%	4 (4)	Nominal stock
BYX1	Alfonsino	40,651,758	121.96	300	40.7%	4 (4)	Likely at or above target level
BYX2	Alfonsino	3,243,396	51.08	1,575	3.2%	3 (3)	Not determined
BYX7	Alfonsino	28,198,758	22.70	80	28.2%	3 (3)	Not determined
CDL1	Cardinal Fish	30,359,250	364.31	1,200	30.4%	1 (1)	Not determined
CDL2	Cardinal Fish	14,067,386	61.90	440	14.1%	1 (1)	Below target; likely depleted/overfished
CDL4	Cardinal Fish	64,080,000	42.29	66	64.1%	3 (3)	Below target; likely depleted/overfished
CDL5	Cardinal Fish	21,900,000	4.82	22	21.9%	3 (3)	Not determined
CDL7	Cardinal Fish	49,425,641	19.28	39	49.4%	3 (3)	Not determined
CDL9	Cardinal Fish	30,750,000	1.23	4	30.8%	4 (4)	Nominal stock
CHC3	Red Crab	12,500,000	0.50	4	12.5%	4 (4)	Nominal stock
CHC5	Red Crab	37,500,000	1.50	4	37.5%	4 (4)	Nominal stock
CHC6	Red Crab	37,500,000	1.50	4	37.5%	4 (4)	Nominal stock
COC5	Cockle	40,000,000	0.80	2	40.0%	4 (4)	Nominal stock
DAN8	Ringed Dosinia	30,303,030	64.84	214	30.3%	4 (4)	Nominal stock



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DAN9	Ringed Dosinia	30,303,030	10.00	33	30.3%	4 (4)	Nominal stock
DSU1	Silky Dosinia	80,000,000	0.80	1	80.0%	4 (4)	Nominal stock
DSU9	Silky Dosinia	80,000,000	0.80	1	80.0%	4 (4)	Nominal stock
ELE3	Elephant Fish	9,775,519	97.76	1,000	9.8%	4 (3)	About as likely as not at or above target level
ELE5	Elephant Fish	10,776,998	18.32	170	10.8%	4 (4)	About as likely as not at or above target level
ELE7	Elephant Fish	14,440,079	14.70	102	14.4%	3 (3)	Not determined
EMA1	Blue Mackerel	59,579,870	4,545.94	7,630	59.6%	4 (4)	Not determined; unlikely depleted/overfished
EMA2	Blue Mackerel	43,278,213	77.90	180	43.3%	4 (4)	Not determined
EMA3	Blue Mackerel	54,078,557	210.91	390	54.1%	4 (4)	Not determined
EMA7	Blue Mackerel	21,646,278	725.15	3,350	21.6%	4 (4)	Not determined
FLA1	Flats	5,351,554	63.54	1,187	5.4%	3 (3)	Not determined
FLA2	Flats	18,666,483	135.50	726	18.7%	3 (3)	Not determined
FLA3	Flats	8,934,981	127.77	1,430	8.9%	3 (3)	Not determined; unlikely collapsed
FRO1	Frostfish	33,491,945	49.90	149	33.5%	3 (3)	Not determined
FRO7	Frostfish	3,867,709	101.45	2,623	3.9%	3 (3)	Not determined
FRO9	Frostfish	19,792,753	27.31	138	19.8%	3 (3)	Not determined
GLM9	Green-lipped Mussel	20,111,111	36.20	180	20.1%	3 (3)	Not determined
GMU1	Grey Mullet	5,028,520	46.54	926	5.0%	3 (3)	Not determined; unlikely collapsed
GSC1	Giant Spider Crab	48,200,000	0.48	1	48.2%	4 (4)	Nominal stock
GSC3	Giant Spider Crab	21,428,571	3.00	14	21.4%	4 (4)	Not determined
GSC5	Giant Spider Crab	36,842,105	7.00	19	36.8%	4 (4)	Not determined
GSC6A	Giant Spider Crab	27,027,028	40.00	148	27.0%	4 (4)	Not determined
GSH1	Ghost Shark	46,320,000	10.19	22	46.3%	3 (3)	Not determined
GSH3	Ghost Shark	32,764,389	388.26	1,185	32.8%	4 (4)	Not determined; unlikely overfishing
GSH4	Ghost Shark	30,492,432	112.82	370	30.5%	4 (4)	Not determined
GSH5	Ghost Shark	15,443,120	16.83	109	15.4%	4 (4)	Not determined
GSH8	Ghost Shark	14,608,333	4.97	34	14.6%	4 (4)	Not determined
GSH9	Ghost Shark	22,875,000	5.03	22	22.9%	4 (4)	Not determined
GSP1	Pale Ghost Shark	34,859,724	400.89	1,150	34.9%	3 (3)	Not determined; unlikely depleted/overfished
GSP5	Pale Ghost Shark	8,883,898	40.33	454	8.9%	3 (3)	Not determined; unlikely depleted/overfished
GUR1	Gurnard	36,854,461	843.06	2,288	36.9%	4 (4)	About as likely as not at or above target level
GUR2	Gurnard	10,793,123	78.30	725	10.8%	3 (3)	About as likely as not at or above target level
GUR3	Gurnard	9,408,131	103.49	1,100	9.4%	4 (4)	Very likely at or above target level
GUR8	Gurnard	13,181,148	71.60	543	13.2%	4 (4)	Not determined
HAK1	Hake	25,720,406	951.95	3,701	25.7%	3 (3)	Very likely at or above target level
HAK4	Hake	39,754,486	715.58	1,800	39.8%	3 (3)	Likely at or above target level
HAK7	Hake	21,906,498	1,686.80	7,700	21.9%	3 (2)	Very likely at or above target level
HOK1	Hoki	15,381,966	23,073.00	150,000	15.4%	3 (4)	Very likely at or above target level

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HPB1	Hapuku & Bass	17,075,708	82.10	481	17.1%	3 (3)	Not determined
HPB5	Hapuku & Bass	20,103,701	90.73	451	20.1%	3 (3)	Not determined
JDO1	John Dory	32,240,767	226.98	704	32.2%	4 (4)	About as likely as not at or above target level
JDO2	John Dory	20,037,105	54.00	270	20.0%	3 (3)	Unlikely at or above target level
JMA1	Jack Mackerel	64,515,090	6,451.80	10,000	64.5%	4 (4)	Not determined
JMA3	Jack Mackerel	23,077,657	4,153.98	18,000	23.1%	4 (4)	Not determined
JMA7	Jack Mackerel	37,629,743	12,243.50	32,537	37.6%	4 (4)	Not determined; unlikely overfishing
KAH1	Kahawai	43,494,117	467.56	1,075	43.5%	4 (4)	Likely at or above target level
KAH2	Kahawai	56,623,717	399.20	705	56.6%	4 (4)	Not determined
KAH3	Kahawai	34,650,096	142.07	410	34.7%	4 (4)	Not determined
KAH8	Kahawai	18,554,867	96.49	520	18.6%	4 (4)	Not determined
KIC5	King Crab	20,000,000	2.00	10	20.0%	4 (4)	Nominal stock
KIC6	King Crab	20,000,000	2.00	10	20.0%	4 (4)	Nominal stock
KIN1	Kingfish	11,828,702	10.76	91	11.8%	4 (4)	About as likely as not at or above target level
KIN8	Kingfish	17,075,603	7.68	45	17.1%	4 (-)	Not determined
LDO1	Lookdown Dory	22,459,524	37.73	168	22.5%	4 (4)	Not determined
LDO3	Lookdown Dory	9,550,488	58.64	614	9.6%	3 (3)	Not determined; unlikely collapsed
LEA1	Leatherjacket	42,118,760	79.18	188	42.1%	3 (3)	Not determined
LEA2	Leatherjacket	20,525,615	233.17	1,136	20.5%	3 (3)	Not determined
LIN1	Ling	30,902,909	123.61	400	30.9%	3 (3)	Not determined
LIN2	Ling	27,094,705	266.07	982	27.1%	3 (3)	Likely at or above target level
LIN3	Ling	19,180,340	395.12	2,060	19.2%	4 (4)	Very likely at or above target level
LIN4	Ling	20,035,857	841.51	4,200	20.0%	3 (4)	Very likely at or above target level
LIN5	Ling	28,498,734	1,127.12	3,955	28.5%	3 (3)	Virtually certain at or above target level
LIN6	Ling	28,672,930	2,438.63	8,505	28.7%	3 (4)	Virtually certain at or above target level
LIN7	Ling	18,787,903	578.67	3,080	18.8%	3 (4)	Very likely at or above target level
MAK1	Mako Shark	1,900,295	3.80	200	1.9%	4 (4)	Not determined
MDI5	Trough Shell	57,142,857	8.00	14	57.1%	4 (4)	Not determined
MDI8	Trough Shell	21,481,482	5.80	27	21.5%	4 (4)	Very likely at or above target level
MDI9	Trough Shell	18,518,519	5.00	27	18.5%	4 (4)	Nominal stock
OEO1	Oreo	21,445,063	536.13	2,500	21.4%	2 (2)	Below target; unlikely depleted/overfished
OEO3A	Oreo	33,718,704	1,129.58	3,350	33.7%	3 (3)	Smooth – About as likely as not at or above target level Black – Undetermined
OEO4	Oreo	33,061,900	2,314.33	7,000	33.1%	3 (3)	Smooth – About as likely as not at or above target level Black – Undetermined
OEO6	Oreo	19,810,000	1,188.60	6,000	19.8%	3 (3)	Not determined
ORH1	Orange Roughy	23,684,375	331.58	1,400	23.7%	3 (3)	Not determined
ORH2A	Orange Roughy	26,507,636	231.94	875	26.5%	3 (3)	Unlikely at or above target; unlikely overfishing

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ORH3A	Orange Roughy	16,849,639	69.93	415	16.8%	3 (3)	Unlikely at or above target; unlikely overfishing
ORH3B	Orange Roughy	34,770,583	1,564.68	4,500	34.8%	3 (3)	Unlikely at or above target; unlikely overfishing
ORH7A	Orange Roughy	24,700,000	123.50	500	24.7%	4 (3)	Unlikely at or above target; unlikely overfishing
ORH7B	Orange Roughy	23,887,674	0.24	1	23.9%	2 (2)	Below target; closed
OYS3	Oysters Dredge	80,000,000	1.60	2	80.0%	3 (3)	Nominal stock
OYS5A	Oysters Dredge	66,666,666	2.00	3	66.7%	3 (3)	Nominal stock
OYS7A	Oysters Dredge	30,000,000	0.30	1	30.0%	3 (3)	Nominal stock
OYS9	Oysters Dredge	40,000,000	0.40	1	40.0%	3 (3)	Nominal stock
OYU5	Oysters Dredge	13,043,478	198.98	1,526	13.0%	3 (3)	Below target; unlikely depleted/overfished
PDO3	Deepwater Tuatua	18,518,519	20.00	108	18.5%	4 (4)	Very likely at or above target level
PDO7	Deepwater Tuatua	10,000,000	5.00	50	10.0%	4 (4)	Very likely at or above target level
PIL1	Pilchard	12,169,650	243.39	2,000	12.2%	4 (4)	Not determined; unlikely collapsed
POR2	Porae	13,104,274	2.36	18	13.1%	4 (4)	Nominal stock
PRK1	Prawn Killer	50,645,000	12.41	25	50.6%	3 (3)	Not determined
PTO1	Patagonian Toothfish	60,000,000	29.70	50	60.0%	3 (3)	Not determined
RBM1	Rays Bream	6,628,199	64.96	980	6.6%	3 (3)	Not determined
RBT3	Redbait	21,974,612	481.24	2,190	22.0%	3 (3)	Not determined
RBV1	Rubyfish	40,125,687	120.38	300	40.1%	4 (4)	Not determined
RBV8	Rubyfish	16,700,000	1.00	6	16.7%	3 (3)	Nominal stock
RBV9	Rubyfish	14,457,895	2.75	19	14.5%	3 (3)	Not determined
RCO1	Red Cod	31,684,479	13.40	42	31.7%	4 (4)	Not determined
RCO2	Red Cod	23,899,939	119.50	500	23.9%	4 (4)	Not determined
RCO3	Red Cod	21,049,804	968.29	4,600	21.0%	3 (3)	Not determined
RCO7	Red Cod	4,513,295	141.09	3,126	4.5%	3 (3)	Not determined; unlikely collapsed
RIB3	Ribaldo	10,466,244	41.24	394	10.5%	3 (3)	Below target; unlikely depleted/overfished
RIB6	Ribaldo	17,900,806	41.35	231	17.9%	3 (3)	Below target; unlikely depleted/overfished
RSK1	Rough Skate	52,886,854	58.70	111	52.9%	3 (3)	Not determined
RSK3	Rough Skate	4,784,283	79.08	1,653	4.8%	3 (3)	Not determined
RSK8	Rough Skate	47,500,000	9.98	21	47.5%	3 (3)	Not determined
RSN1	Red Snapper	11,573,620	14.35	124	11.6%	4 (4)	Not determined
RSN2	Red Snapper	13,014,285	2.73	21	13.0%	3 (3)	Not determined
SAE1	Triangle Shell	77,777,778	7.00	9	77.8%	3 (3)	Nominal stock
SAE5	Triangle Shell	33,333,333	1.00	3	33.3%	3 (3)	Nominal stock
SAE9	Triangle Shell	25,000,000	2.00	8	25.0%	3 (3)	Nominal stock
SBW1	Southern Blue Whiting	67,984,990	5.44	8	68.0%	3 (3)	Nominal stock
SBW6A	Southern Blue Whiting	10,892,866	178.64	1,640	10.9%	3 (3)	Not determined
SBW6B	Southern Blue Whiting	10,892,850	747.25	6,860	10.9%	3 (3)	About as likely as not at or above target level
SBW6I	Southern Blue Whiting	10,892,850	3,202.50	29,400	10.9%	3 (3)	Likely at or above target level

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SBW6R	Southern Blue Whiting	10,892,836	599.11	5,500	10.9%	3 (3)	Likely at or above target level
SCA1	Scallop	10,540,000	4.22	40	10.5%	3 (3)	Not determined
SCH1	School Shark	24,718,693	170.31	689	24.7%	3 (3)	Not determined; unlikely collapsed
SCH5	School Shark	21,045,737	156.37	743	21.0%	3 (3)	Not determined; unlikely collapsed
SCH8	School Shark	10,672,943	56.46	529.0	10.7%	3 (3)	Not determined; unlikely collapsed
SCI1	Scampi	69,403,848	83.29	120	69.4%	3 (3)	Likely at or above target
SCI2	Scampi	55,043,872	73.21	133	55.0%	4 (4)	Very likely at or above target level
SCI3	Scampi	37,598,236	127.83	340	37.6%	4 (4)	Not determined; unlikely collapsed
SCI4A	Scampi	75,807,699	90.97	120	75.8%	3 (3)	Not determined
SCI6A	Scampi	51,271,498	156.89	306	51.3%	3 (3)	Not determined; unlikely collapsed
SK11	Gemfish	59,563,724	125.08	210	59.6%	2 (2)	Below target; unlikely depleted/overfished
SK12	Gemfish	14,631,409	35.12	240	14.6%	3 (3)	Below target; unlikely depleted/overfished
SK13	Gemfish	29,118,758	87.47	300	29.1%	3 (3)	Not determined
SK17	Gemfish	32,589,333	97.75	300	32.6%	3 (3)	Not determined
SNA1	Snapper	24,132,400	1,085.96	4,500	24.1%	4 (4)	Very unlikely at or above target; very unlikely collapsed
SNA8	Snapper	60,822,133	790.69	1,300	60.8%	4 (4)	Below target level
SPD1	Spiny Dogfish	16,194,864	53.61	331	16.2%	3 (3)	Not determined
SPD3	Spiny Dogfish	19,878,849	952.99	4,794	19.9%	2 (2)	Not determined; unlikely collapsed
SPD4	Spiny Dogfish	12,680,020	206.18	1,626	12.7%	3 (3)	Not determined; unlikely collapsed
SPD5	Spiny Dogfish	31,167,406	1,153.19	3,700	31.2%	3 (3)	Not determined
SPD7	Spiny Dogfish	15,609,253	296.89	1,902	15.6%	3 (3)	Not determined; unlikely collapsed
SPD8	Spiny Dogfish	31,620,705	97.08	307	31.6%	3 (3)	Not determined
SPE3	Sea Perch	13,954,336	139.54	1,000	14.0%	3 (3)	Not determined; unlikely collapsed
SPE4	Sea Perch	8,177,299	74.41	910	8.2%	3 (3)	Not determined; unlikely collapsed
SPE5	Sea Perch	26,913,889	9.69	36	26.9%	3 (3)	Not determined
SPE6	Sea Perch	18,111,111	1.63	9	18.1%	3 (3)	Not determined
SPO1	Rig	21,247,457	147.05	692	21.2%	3 (3)	Not determined
SPO3	Rig	8,128,336	48.77	600	8.1%	3 (3)	Not determined; unlikely collapsed
SQU1J	Arrow Squid	35,983,516	18,068.08	50,212	36.0%	2 (2)	Not determined; unlikely collapsed
SQU1T	Arrow Squid	28,088,081	12,566.86	44,741	28.1%	3 (3)	Not determined; unlikely collapsed
SQU6T	Arrow Squid	29,886,643	9,674.13	32,369	29.9%	3 (3)	Not determined; unlikely collapsed
SSK1	Smooth Skate	49,897,741	18.46	37	49.9%	3 (3)	Not determined
SSK3	Smooth Skate	30,024,520	173.84	579	30.0%	3 (3)	Not determined
SSK7	Smooth Skate	19,448,356	41.43	213	19.4%	3 (3)	Not determined
SSK8	Smooth Skate	30,785,070	6.16	20	30.8%	3 (3)	Not determined
STA1	Giant Stargazer	29,014,286	6.09	21	29.0%	3 (3)	Not determined
STA2	Giant Stargazer	21,915,789	8.33	38	21.9%	3 (3)	Not determined
STA3	Giant Stargazer	17,148,292	154.61	902	17.1%	3 (3)	Not determined; unlikely depleted/overfished
STA4	Giant Stargazer	4,722,402	101.90	2,158	4.7%	3 (3)	Likely at or above target level
STA5	Giant Stargazer	25,684,651	324.65	1,264	25.7%	3 (3)	Not determined; unlikely depleted/overfished

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STA7	Giant Stargazer	16,799,914	175.06	1,042	16.8%	3 (3)	Likely at or above target level
SWA1	Silver Warehou	28,598,124	857.94	3,000	28.6%	3 (3)	Likely at or above target level
SWA3	Silver Warehou	39,402,768	1,292.53	3,280	39.4%	3 (3)	Not determined
SWA4	Silver Warehou	33,975,542	1,389.57	4,090	34.0%	3 (3)	Not determined
TAR1	Tarakihi	34,439,660	498.51	1,447	34.4%	4 (4)	Not determined
TAR2	Tarakihi	5,945,619	106.78	1,796	5.9%	4 (4)	Not determined; unlikely collapsed
TAR3	Tarakihi	9,039,682	126.83	1,403	9.0%	4 (4)	Not determined; unlikely collapsed
TAR4	Tarakihi	44,310,563	140.11	316	44.3%	4 (4)	Likely at or above target level
TAR5	Tarakihi	19,462,647	29.70	153	19.5%	4 (4)	Not determined
TAR7	Tarakihi	11,373,830	123.80	1,088	11.4%	4 (4)	Below target; unlikely depleted/overfished
TAR8	Tarakihi	35,375,777	79.74	225	35.4%	4 (4)	Not determined
TRE1	Trevally	39,562,555	596.16	1,507	39.6%	4 (4)	Not determined; about as likely as not overfishing
TRE2	Trevally	12,102,975	29.20	241	12.1%	3 (3)	Not determined
TRE7	Trevally	50,716,255	1,092.20	2,153	50.7%	4 (4)	Very likely at or above target level
WAR1	Common Warehou	27,135,922	11.18	41	27.3%	3 (3)	Not determined
WAR2	Common Warehou	9,518,288	55.00	577	9.5%	3 (3)	Not determined
WAR3	Common Warehou	29,632,726	750.05	2,530	29.6%	4 (4)	Not determined
WAR7	Common Warehou	21,049,849	235.70	1,119	21.1%	3 (3)	Not determined
WWA1	White Warehou	51,850,000	2.07	4	51.9%	3 (3)	Nominal stock
WWA4	White Warehou	17,152,728	56.60	330	17.2%	3 (3)	Not determined
WWA5B	White Warehou	29,766,489	778.99	2,617	29.8%	3 (3)	Not determined
WWA8	White Warehou	23,500,000	0.24	1	23.5%	3 (3)	Nominal stock
Total (mt) All Sanford Stocks Owned (312 stocks)			148,573.41	624,908	23.8%		
Total Stocks owned over 10% TACC or over 30 mt (202 species)			147,953.49	598,747	24.7%		

Key – Sanford Sustainability Status View

1 – Quota reduction likely	2 – Has declined but is rebuilding	3 – Being sustainably utilised	4 – Under-exploited & could increase
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